PTO/SB/21 (08-03) **Application Number** 10/719,073 **TRANSMITTAL** Filing Date November 21, 2003 **FORM** First Named Inventor Ronald Chang, et al. (to be used for all correspondence after initial filing) Art Unit To Be Assigned **Examiner Name** To Be Assigned Total Number of Pages in This 6 **Attorney Docket Number** 020048-002711US Submission ENCLOSURES (Check all that apply) Fee Transmittal Form ☐ Drawing(s) After Allowance Communication to Group Appeal Communication to Board of Appeals Fee Attached Licensing-related Papers and Interferences Petition Appeal Communication to Group (Appeal Amendment/Reply Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): Request for Refund Return Postcard, Express Abandonment Request CD, Number of CD(s) PTO/SB/08A, 08B Information Disclosure Statement The Commissioner is authorized to charge any additional fees to Deposit Certified Copy of Priority Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Crew LLP Chun-Pok Leung Reg. No. 41,405 Individual Signature April 12, 2004 Date CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name James Yang Signature Date April 13, 2004

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Attorney Docket No.: 020048-002711US

Ommissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

on APRIL 13, 2004

TOWNSEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ronald Chang et al.

Application No.: 10/719,073

Filed: November 21, 2003

For: APPARATUS FOR PERFORMING HEAT-EXCHANGING CHEMICAL

**REACTIONS** 

Examiner: To Be Assigned

Art Unit: To Be Assigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/468,690, filed December 21, 1999 (Attorney Docket No. 020048-002710US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Ronald Chang et al. Application No.: Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Chun-Pok Leung Reg. No. 41,405

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

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Substitute for form 1449A/PTO Complete if Known **Application Number** 10/719,073 INFORMATION DISCLOSURE Filing Date November 21, 2003 STATEMENT BY APPLICANT First Named Inventor Ronald Chang, et al. Art Unit To Be Assigned (use as many sheets as necessary) **Examiner Name** To Be Assigned Sheet of 2 Attorney Docket Number 020048-002711US

	U.S. PATENT DOCUMENTS+							
		Document Number						
Examiner Initials*	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	0001	US-3,607,098	09-21-1971	Strande				
	0002	US-3,708,886	01-09-1973	Ogle				
	0003	US-4,154,690	05-15-1979	Ballies				
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	0008	US-5,038,852	08-13-1991	Johnson et al.				
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	0015	US-5,783,148	07-21-1998	Cottingham et al.				
	0016	US-5,786,182	07-28-1998	Catanzariti et al.				
	0017	US-5,811,296	09-22-1998	Chemelli et al.				
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- 14	0019	US-5,958,349	09-28-1999	Petersen et al.				
	0020	US-6,144,448	11-07-2000	Mitoma				

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or	Pages, Columns, Lines, Where Relevant		
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>6</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited  Document	Passages or Relevant Figures Appear	T <sup>6</sup>
$\prec$	0021	EP	0 318 255		05-1989			
Υ.	0022	EP	0 436 995	A2	07-1991			
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\ <u></u>	0030	wo	99/33559		07-1999			
×	0031	wo	99/48608		09-1999			
×	0032	wo	99/60380		11-1999			

Examiner Signature	Date Considered	

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Applicant's unique citation designation number (optional). 
Kind Codes of U.S. Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 

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## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

	(use as many sneets as necessary)						
Sheet	2	· of	2				

Complete if Known				
Application Number	10/719,073			
Filing Date	November 21, 2003			
First Named Inventor	Ronald Chang, et al.			
Art Unit	To Be Assigned			
Examiner Name	To Be Assigned			
Attorney Docket Number	020048-002711US			

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
X	0033	Wittwer, et al. (1997). "The LightCycler.TM.: A Microvolume Multisample Fluorimeter with Rapid Temperature Control," BioTechniques 22:176-181.		
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